

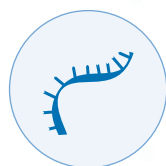
# Comprehensive Tumor Profiling

Caris Life Sciences' comprehensive molecular profiling approach to assess DNA, RNA and proteins reveals a molecular blueprint to help guide more precise and individualized treatment decisions.



## DNA

Whole Exome Sequencing  
*SNVs, Indels, CNAs, Karyotyping,\* Viruses\**



## RNA

Whole Transcriptome Sequencing  
*Gene Fusions, Variant Transcripts, Gene Expression\**



## Protein

Immunohistochemistry  
*Tumor-Relevant Protein Biomarkers*

## Technical Specifications

Sufficient tumor content (≥20% tumor nuclei) must be present to complete all analyses.

| Technical Information   | IHC  | CISH   |
|---|--|--|
| <b>Sample Requirements</b><br><i>(see requisition for full details)</i> | 1 unstained slide at 4µm thickness from FFPE block, with evaluable tumor present, per IHC test | 1 unstained slide at 4µm thickness from FFPE block, with at least 100 evaluable tumor cells present, per CISH test |
| <b>Sensitivity/Specificity</b>  | >95%   | >95%   |

| Technical Information   | NGS (Whole Exome – DNA)  | NGS (Whole Transcriptome – RNA) |
|---|--|---------------------------------|
| <b>Sample Requirements</b>  | ≥20% tumor nuclei. Accepted specimen types: FFPE block, unstained slides, core needle biopsy, fine needle aspirate, malignant fluid cell block, bone/bone metastasis. See <i>Tumor Profiling Requisition</i> for complete details. |                                 |
| <b>Tumor Enrichment (when necessary)</b>                            | Microdissection to isolate and increase the number of cancer cells to improve test performance and increase the chance for successful testing from small tumor samples   |                                 |
| <b>Number of Genes</b>  | 22,000+ genes  | 22,000+ genes                   |
| <b>Average Depth of Coverage (DNA)<br/>Average Read Count (RNA)</b> | 800x for clinical genes  | 23 million reads                |
| <b>Positive Percent Agreement (PPA)</b>                             | >97% for base substitutions at ≥ 5% mutant allele frequency;<br>>97% for indels at ≥ 5% mutant allele frequency;<br>>95% for copy number alterations (amplifications ≥ 6 copies)   | >96%                            |
| <b>Negative Percent Agreement (NPA)</b>                             | >99%   | >99%                            |
| <b>Viruses*</b>   | HPV 16 & 18 (Head & Neck, Anal, Genital, CUP)  |                                 |
| <b>Genomic Signatures/Other</b>                                     | Genomic Loss of Heterozygosity (gLOH)<br>Homologous Recombination Deficiency (HRD)*<br>Microsatellite Instability (MSI)<br>Tumor Mutational Burden (TMB)<br>Human Leukocyte Antigen (HLA) Genotype*                                |                                 |
|   | Caris FOLFIRSTai™*<br>Caris GPSai™*  |                                 |

\* Not available in all locations.

# Caris Molecular Profiling Associations List

The list below details the biomarkers assessed, technology platforms utilized and associated therapies or clinical trials. **Biomarkers and therapy associations may vary by the tumor type submitted.** *Individual assay results are always included with the final report.*

| Biomarker          | Technology/Alteration                     | Agent  |
|--------------------|---|--|
| ALK                | IHC, RNA Fusion                           | crizotinib, ceritinib, alectinib, brigatinib (NSCLC only), lorlatinib (NSCLC only)   |
|                    | DNA Mutation                              | resistance to crizotinib, alectinib  |
| AR                 | IHC                                       | bicalutamide, leuproliide (salivary gland tumors only)   |
|                    |   | enzalutamide, bicalutamide (TNBC only)   |
| BRAF               | DNA Mutation                              | vemurafenib, dabrafenib, cobimetinib, trametinib   |
|                    |   | encorafenib + binimetinib (melanoma only)  |
|                    |   | dabrafenib+trametinib  |
|                    |   | atezolizumab + cobimetinib + vemurafenib (melanoma only)   |
|                    |   | cetuximab + encorafenib (CRC only)   |
| BRCA1/2            | DNA Mutation, DNA Deletion                | carboplatin, cisplatin, oxaliplatin  |
|                    |   | niraparib (ovarian, prostate), olaparib (breast, cholangiocarcinoma, ovarian, pancreatic, prostate), rucaparib (ovarian, pancreatic, prostate), talazoparib (breast only), veliparib combination (pancreatic only) |
|                    |   | resistance to olaparib, niraparib, rucaparib with reversion mutation   |
| COL1A1-PDGFB       | RNA Fusion                                | imatinib (DFSP only)   |
| EGFR               | DNA Mutation                              | afatinib (NSCLC and CUP only)  |
|                    |   | afatinib + cetuximab (T790M; NSCLC only)   |
|                    |   | amivantamab, mobocertinib (Exon 20 insertion; NSCLC only)  |
|                    |   | erlotinib, gefitinib (NSCLC and CUP only)  |
|                    |   | osimertinib, dacomitinib (NSCLC and CUP only)  |
| ER                 | IHC                                       | endocrine therapies  |
|                    |   | everolimus (breast only)   |
|                    |   | palbociclib, ribociclib, abemaciclib (breast only)   |
| ERBB2 (HER2)       | IHC, CISH, CNA                            | trastuzumab, lapatinib, neratinib (breast only), pertuzumab, T-DM1, fam-trastuzumab deruxtecan-nxki (HER2 low in breast only), tucatinib, margetuximab   |
|                    | DNA Mutation                              | fam-trastuzumab deruxtecan-nxki, T-DM1 (NSCLC only)  |
| ER/PR/ERBB2 (HER2) | IHC, CISH                                 | sacituzumab govitecan (TNBC only)  |
| ESR1               | DNA Mutation                              | exemestane + everolimus, fulvestrant, palbociclib combination therapy (breast only)  |
| FGFR2/3            | DNA Mutation, RNA Fusion                  | resistance to aromatase inhibitors (breast only)   |
| FOLR1              | IHC                                       | erdafitinib (urothelial bladder only), pemigatinib, infigratinib (biliary tract cancers only)  |
| gLOH (Genomic)     | DNA Mutation                              | mirvetuximab soravtansine (epithelial ovarian only)  |
| HLA Genotype*      | DNA Mutation                              | rucaparib (ovarian only)   |
| HRD*               | DNA Mutation                              | tebentafusp (uveal melanoma)   |
| HRR                | DNA Mutation, DNA Deletion                | niraparib, olaparib, rucaparib (epithelial ovarian only)   |
| IDH1               | DNA Mutation                              | olaparib (prostate only)   |
| KIT                | DNA Mutation                              | temozolomide (glioma only)   |
|                    |   | ivosidenib (biliary tract cancers only)  |
| Ki-67              | IHC                                       | imatinib   |
| KRAS               | DNA Mutation                              | regorafenib, sunitinib (both GIST only)  |
|                    |   | abemaciclib (early stage HR+ HER2- breast cancer only)   |
|                    |   | resistance to cetuximab, panitumumab (CRC only)  |
|                    |   | resistance to erlotinib/gefitinib (NSCLC only)   |
|                    |   | resistance to trastuzumab, lapatinib, pertuzumab (CRC only)  |
|                    |   | sotorasib (G12C-mutated, NSCLC only)   |
| MET                | RNA Exon Skipping, DNA Exon Skipping, CNA | capmatinib, crizotinib, tepotinib (all NSCLC only)   |
| MGMT               | Pyrosequencing (Methylation)              | temozolomide (glioma only)   |
| MMR Deficiency     |   | pembrolizumab, dostarlimab (pan-tumors)  |
| MSI                | IHC, DNA Mutation                         | pembrolizumab, nivolumab (CRC, small bowel adenocarcinoma), nivolumab+ipilimumab (CRC, small bowel adenocarcinoma)   |
| MMR Proficiency    |   |  |
| MSS                | IHC, DNA Mutation                         | pembrolizumab + lenvatinib (endometrial only)  |
| NF1                | DNA Mutation                              | selumetinib (neurofibroma only)  |
| NRAS               | DNA Mutation                              | resistance to cetuximab, panitumumab (CRC only)  |
|                    |   | resistance to trastuzumab, lapatinib, pertuzumab (CRC only)  |
| NTRK1/2/3          | RNA Fusion                                | entrectinib, larotrectinib   |
|                    | DNA Mutation                              | resistance to larotrectinib, entrectinib   |
| PALB2              | DNA Mutation                              | olaparib (pancreatic and prostate), veliparib combination (pancreatic only)  |
| PDGFRA             | DNA Mutation                              | imatinib, avapritinib (GIST only), sunitinib   |
| PD-L1              | IHC                                       | pembrolizumab (22c3 TPS in NSCLC; 22c3 CPS in cervical, esophageal, head & neck, urothelial and non-urothelial bladder, vulvar)  |
|                    |   | atezolizumab (SP142 IC urothelial bladder cancer; SP142 IC & TC, SP263 TC NSCLC)   |
|                    |   | pembrolizumab + chemotherapy (22c3 CPS in TNBC only)   |
|                    |   | nivolumab/ipilimumab combination (28-8 NSCLC only)   |
|                    |   | nivolumab (28-8 gastric/GEJ only)  |
|                    |   | cemiplimab (22c3 TPS NSCLC only)   |
| PIK3CA             | DNA Mutation                              | alpelisib + fulvestrant (breast only)  |
| POLE               | DNA Mutation                              | pembrolizumab (endometrial and CRC only)   |
| PR                 | IHC                                       | endocrine therapies  |
| RET                | RNA Fusion                                | cabozantinib, vandetanib, selpercatinib, pralsetinib (NSCLC only)  |
|                    | DNA Mutation                              | vandetanib, cabozantinib, selpercatinib (thyroid only); resistance to vandetanib, cabozantinib   |
| ROS1               | IHC, RNA Fusion                           | crizotinib, ceritinib, entrectinib, lorlatinib (NSCLC only)  |
| TMB                | DNA Mutation                              | pembrolizumab  |
| VHL                | DNA Mutation                              | belzutifan (renal cell carcinoma, CNS hemangioblastomas, pancreatic neuroendocrine tumors)   |

IHC: Immunohistochemistry CISH: Chromogenic in situ Hybridization CNA: Copy Number Alteration (DNA) HRD: Homologous Recombination Deficiency

HRR (Homologous Recombination Repair) genes: ATM, BARD1, BRCA1, BRCA2, BRIP1, CDK12, CHEK1, CHEK2, FANCL, PALB2, RAD51B, RAD51C, RAD51D, RAD54L

Note: in certain instances, some biomarkers included in MI Profile or genes ordered individually will not associate with commercially available cancer therapies or clinical trials.

# Tumor Profiling Menu

The information below details the biomarkers analyzed by technology for the tumor type submitted. Before ordering testing services, please refer to the profile menu online ([www.CarisLifeSciences.com/profiling-menu](http://www.CarisLifeSciences.com/profiling-menu)) to view the most up-to-date listing of biomarkers that will be performed. Tests may vary if insufficient tumor samples are submitted.

## MI Tumor Seek Hybrid™ (Next-Generation Sequencing across solid tumors)

| Whole Exome Sequencing | Alterations                                | Genomic Signatures          | Whole Transcriptome Sequencing | Alterations                                    |
|------------------------|--|-----------------------------|--------------------------------|--|
|                        | SNVs, Indels, CNAs, Karyotyping,* Viruses* | gLOH, HRD**, MSI, TMB, HLA* |                                | Fusions, Variant Transcripts, Gene Expression* |

## AI-Powered Molecular Signatures

### Caris GPSai™\*\*

Cancer type similarity assessment that is intended to help identify the tumor of origin by comparing the molecular characteristics of the patient's tumor against other tumors in the Caris database.

### Caris FOLFIRSTai™\*\*

Chemotherapy response predictor that is intended to gauge a mCRC patient's likelihood of benefit from first-line FOLFOX+BV followed by FOLFIRI+BV, versus FOLFIRI+BV followed by FOLFOX+BV treatment.

## Other Testing by Tumor Type

| Tumor Type                         | Immunohistochemistry (IHC)   | Other  | Tumor Type          | Immunohistochemistry (IHC)                               | Other   |
|------------------------------------|--|--|---------------------|--|---|
| Bladder                            | MMR, PD-L1 (SP142, 22c3)   |  | Melanoma            | MMR, PD-L1 (SP142)                                       |   |
| Breast                             | AR, ER, Her2/Neu, Ki-67 <sup>†</sup> , MMR, PD-L1 (22c3), PR, PTEN |  | Merkel Cell         | MMR, PD-L1 (SP142)                                       |   |
| Cancer of Unknown Primary – Female | AR, ER, Her2/Neu, MMR, PD-L1 (SP142)                               |  | Neuroendocrine      | MMR, PD-L1 (SP142)                                       |   |
| Cancer of Unknown Primary – Male   | AR, HER2/Neu, MMR, PD-L1 (SP142)                                   |  | Non-Small Cell Lung | ALK <sup>†</sup> , MMR, PD-L1 (22c3, 28-8, SP142, SP263) |   |
| Cervical                           | ER, MMR, PD-L1 (22c3), PR  |  | Ovarian             | Ovarian ER, FOLR1 <sup>†</sup> , MMR, PD-L1 (22c3), PR   |   |
| Cholangiocarcinoma/Hepatobiliary   | Her2/Neu, MMR, PD-L1 (SP142)                                       | Her2 (Chromogenic <i>in situ</i> Hybridization)  | Pancreatic          | MMR, PD-L1 (SP142)                                       |   |
| Colorectal and Small Intestinal    | Her2/Neu, MMR, PD-L1 (SP142), PTEN                                 |  | Prostate            | AR, MMR, PD-L1 (SP142)                                   |   |
| Endometrial                        | ER, MMR, PD-L1 (SP142), PR, PTEN                                   |  | Salivary Gland      | AR, Her2/Neu, MMR, PD-L1 (SP142)                         |   |
| Esophageal Cancer                  | Her2/Neu, MMR, PD-L1 (22c3)  |  | Sarcoma             | MMR, PD-L1 (SP142)                                       |   |
| Gastric/GEJ                        | Her2/Neu, MMR, PD-L1 (28-8)  | EBER, Her2 (Chromogenic <i>in situ</i> Hybridization)                                  | Small Cell Lung     | MMR, PD-L1 (22c3)  |   |
| GIST                               | MMR, PD-L1 (SP142), PTEN   |  | Thyroid             | MMR, PD-L1 (SP142)                                       |   |
| Glioma                             | MMR, PD-L1 (SP142)   | MGMT Methylation (Pyrosequencing)  | Uterine Serous      | ER, Her2/Neu, MMR, PD-L1 (SP142), PR, PTEN               | Her2 (Chromogenic <i>in situ</i> Hybridization) |
| Head & Neck                        | MMR, p16, PD-L1 (22c3)   | EBER, HPV (Chromogenic <i>in situ</i> Hybridization), HPV reflex to confirm p16 result | Vulvar Cancer (SCC) | MMR, PD-L1 (22c3)  |   |
| Kidney                             | MMR, PD-L1 (SP142)   |  | Other Tumors        | MMR, PD-L1 (SP142)                                       |   |
| Lymphoma/Leukemia                  | –  |  |                     |  |   |

MMR = Mismatch Repair proteins: MLH1, MSH2, MSH6, PMS2

<sup>†</sup>ALK IHC only performed for NSCLC adenocarcinoma.

<sup>†</sup>FOLR1 IHC and HRD Status only performed for epithelial ovarian cancer.

<sup>†</sup>Ki-67 IHC only for early stage breast cancer.

\*Not available in all locations.

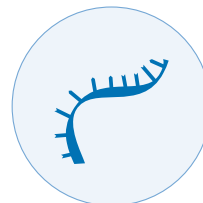
# Caris Molecular Testing – Complete Gene Coverage

As the pioneer in precision medicine, Caris was the first to provide WES and WTS for every patient. All molecular profiling orders include next-generation sequencing of 22,000+ genes.



## Whole Exome Sequencing (WES) DNA

- 22,000+ genes
- 800x for clinical genes
- SNVs, Indels, CNAs & Karyotyping\*
- 250,000 evenly-spaced genomic SNP
- Viruses\*
- Genomic signatures:
  - Genomic Loss of Heterozygosity (gLOH)
  - Homologous Recombination Deficiency (HRD)\*
  - Microsatellite Instability (MSI)
  - Tumor Mutational Burden (TMB)
- Other:
  - HLA Genotype\*



## Whole Transcriptome Sequencing (WTS) RNA

- 22,000+ genes
- 23 million read count
- Gene fusions, variant transcripts and gene expression\*
- Novel translocation detection independent of intronic breakpoint

## Gene List

Listed below are the genes most commonly associated with cancer. Full gene search is available on CarisLifeSciences.com.

|          |        |           |         |          |                      |        |         |         |          |
|----------|--------|-----------|---------|----------|----------------------|--------|---------|---------|----------|
| ABL1     | BCR    | CSF1R     | FANCC   | GNAQ     | LZTR1                | MUTYH  | PIK3CB  | RAD51D  | SOC3     |
| ABL      | BLM    | CTNNA1    | FANCD2  | GNAS     | MAML2                | MYB    | PIK3R1  | RAD54L  | SPEN     |
| ACVR1    | BMPR1A | CTNNB1    | FANCE   | H3F3A    | MAP2K1               | MYC    | PIK3R2  | RAF1    | SPOP     |
| AIP      | BRAF   | CXCR4     | FANCF   | H3F3B    | MAP2K2               | MYCN   | PIM1    | RASA1   | SRC      |
| AKT1     | BRC A1 | CYLD      | FANCG   | HDAC1    | MAP2K4               | MYD88  | PKN1    | RB1     | SSBP1    |
| AKT2     | BRC A2 | CYP17A1   | FANCI   | HIST1H3B | MAP3K1               | NBN    | PMS1    | RELA    | STAG2    |
| AKT3     | BRD3   | DDR2      | FANCL   | HIST1H3C | MAPK1                | NF1    | PMS2    | RET     | STAT3    |
| ALK      | BRD4   | DICER1    | FANCM   | HNF1A    | MAPK3                | NF2    | POLD1   | RHOA    | STK11    |
| AMER1    | BRIP1  | DNMT3A    | FAS     | HQXB13   | MAST1                | NFE2L2 | POLD2   | RNF43   | SUFU     |
| APC      | BT K   | EGFR      | FAT1    | HRAS     | MAST2                | NFKBIA | POLD3   | ROS1    | TERT     |
| AR       | CALR   | EGFR vIII | FBXW7   | IDH1     | MAX                  | NOTCH1 | POLD4   | RPA1    | TET2     |
| ARAF     | CARD11 | EGLN1     | FGFR1   | IDH2     | MED12                | NOTCH2 | POLE    | RPA2    | TFE3     |
| ARHGAP26 | CASP8  | ELF3      | FGFR2   | INSR     | MEF2B                | NPM1   | POLQ    | RPA3    | TFEB     |
| ARHGAP35 | CBFB   | EP300     | FGFR3   | IRF4     | MEN1                 | NRAS   | POT1    | RPA4    | THADA    |
| ARID1A   | CCND1  | EPHA2     | FGFR4   | JAK1     | MET                  | NRG1   | PPARG   | RSP02   | TMEM127  |
| ARID2    | CCND2  | ERBB2     | FGR     | JAK2     | MET Exon 14 Skipping | NSD1   | PPP2R1A | RSP03   | TMPRSS2  |
| AR-V7    | CCND3  | ERBB3     | FH      | JAK3     | MGA                  | NTHL1  | PPP2R2A | RUNX1   | TNFAIP3  |
| ASXL1    | CD274  | ERBB4     | FLCN    | KDM5C    | MGMT                 | NTRK1  | PRDM1   | SDHA    | TNFRSF14 |
| ATM      | CD79B  | ERCC2     | FLT1    | KDM6A    | MIF                  | NTRK2  | PRKACA  | SDHAF2  | TP53     |
| ATR      | CDC73  | ERG       | FLT3    | KDR      | MLH1                 | NTRK3  | PRKAR1A | SDHB    | TRAF7    |
| ATRX     | CDH1   | ESR1      | FLT4    | KEAP1    | MLH3                 | NUMBL  | PRKCA   | SDHC    | TSC1     |
| AXIN1    | CDK12  | ETV1      | FOXA1   | KIF1B    | MPL                  | NUTM1  | PRKCB   | SDHD    | TSC2     |
| AXIN2    | CDK4   | ETV4      | FOXL2   | KIT      | MRE11                | PALB2  | PTCH1   | SETD2   | U2AF     |
| AXL      | CDK6   | ETV5      | FUBP1   | KLF4     | MSH2                 | PARP1  | PTEN    | SF3B1   | VHL      |
| B2M      | CDKN1B | ETV6      | FYN     | KMT2A    | MSH3                 | PBRM1  | PTPN11  | SMAD2   | WRN      |
| BAP1     | CDKN2A | EWSR1     | GALNT12 | KMT2C    | MSH4                 | PCNA   | RABL3   | SMAD4   | WT1      |
| BARD1    | CHEK1  | EXO1      | GATA3   | KMT2D    | MSMB                 | PDGFRA | RAC1    | SMARCA4 | XPO1     |
| BCL2     | CHEK2  | EZH2      | GLI2    | KRAS     | MST1R                | PDGFRB | RAD50   | SMARCB1 | XRCC1    |
| BCL9     | CIC    | FANCA     | GNA11   | LCK      | MTOR                 | PHOX2B | RAD51B  | SMARCE1 | XRCC2    |
| BCOR     | CREBBP | FANCB     | GNA13   | LYN      | MUSK                 | PIK3CA | RAD51C  | SMO     | YES1     |

\* Not available in all locations.

To order or learn more, visit [www.CarisLifeSciences.com](http://www.CarisLifeSciences.com).  
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