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## Background

- TIM-3 is an inhibitory checkpoint glycoprotein found on innate and adaptive immune cells and is highly expressed on tumor infiltrating lymphocytes.
- TIM-3 and its ligands, Galectin 9 (Gal9), HMGB1 and CEACAM1 play a critical role in immune regulation
- Preclinical data suggest a role in the pathogenesis of colorectal cancer (CRC).
- We aimed to characterize the molecular features and prognostic value of TIM3 and its ligands in CRC.

## Methods

- Tumor molecular profiling was performed from 15,026 FFPE samples by NextGen Sequencing on DNA (592 genes or WES) and RNA (WTS) and immunohistochemistry (IHC) at Caris Life Sciences (Phoenix, AZ).
- Top quartile transcripts per million (TPMs) for *TIM-3*, *Gal9*, *HMGB1* and *CEACAM1* were considered high (Q4) while bottom quartile low (Q1) expression (exp).
- $\chi^2$ /Fisher-exact tests were used for comparison and significance was determined as *P*-value adjusted for multiple comparison and this was found for the results reported here ( $Q < 0.05$ ).
- Cell infiltration in the tumor microenvironment (TME) was estimated by quanTiseq.
- Real-world overall survival (OS) information was obtained from insurance claims data and Kaplan-Meier estimates were calculated for molecularly defined pts.

## Results

Tables 1. (A-D) show patient demographic information for *TIM3* and its ligands.

**A.**

	TIM3 Q1	TIM3 Q4
Count (N)	3697	3697
Median Age (range)	62.0 (20 - >89)	63.0 (15 - >89)
Male	55.7% (2060/3697)	53.0% (1961/3697)
Female	44.3% (1637/3697)	47.0% (1736/3697)

**B.**

	GAL9 Q1	GAL9 Q4
Count (N)	3697	3697
Median Age (range)	61.0 (15 - >89)	63.0 (17 - >89)
Male	55.4% (2047/3697)	54.7% (2024/3697)
Female	44.6% (1650/3697)	45.3% (1673/3697)

**C.**

	HMGB1 Q1	HMGB1 Q4
Count (N)	3697	3697
Median Age (range)	62.0 (14 - >89)	63.0 (17 - >89)
Male	54.9% (2029/3697)	55.3% (2046/3697)
Female	45.1% (1668/3697)	44.7% (1651/3697)

**D.**

	CEACAM1 Q1	CEACAM1 Q4
Count (N)	3697	3697
Median Age (range)	62.0 (15 - >89)	63.0 (17 - >89)
Male	53.4% (1976/3697)	56.0% (2071/3697)
Female	46.6% (1721/3697)	44.0% (1626/3697)

Figure 1: (A-D) IO marker prevalence (%) for *TIM3* and its ligands.

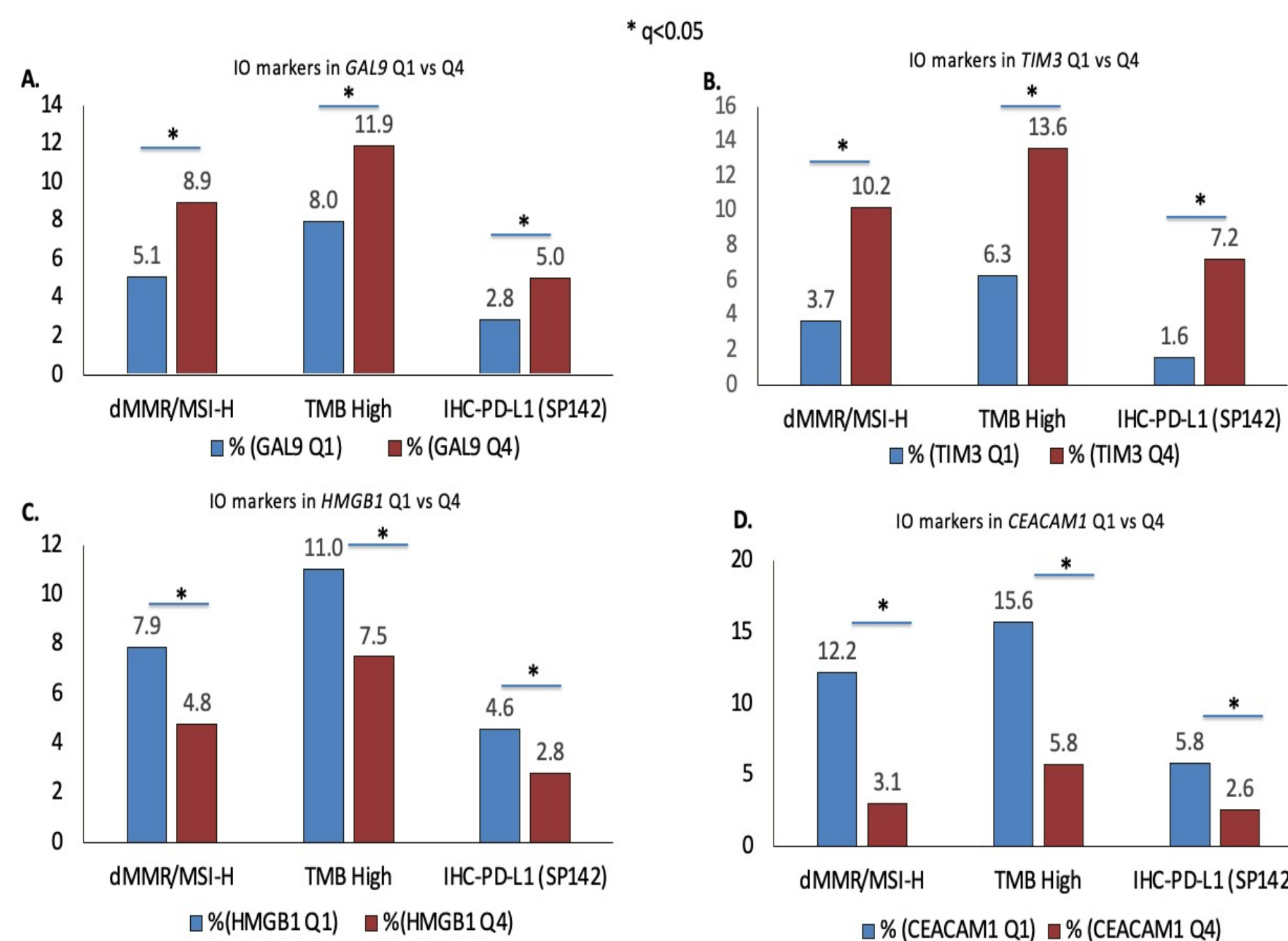


Figure 2: Median expression for CMS

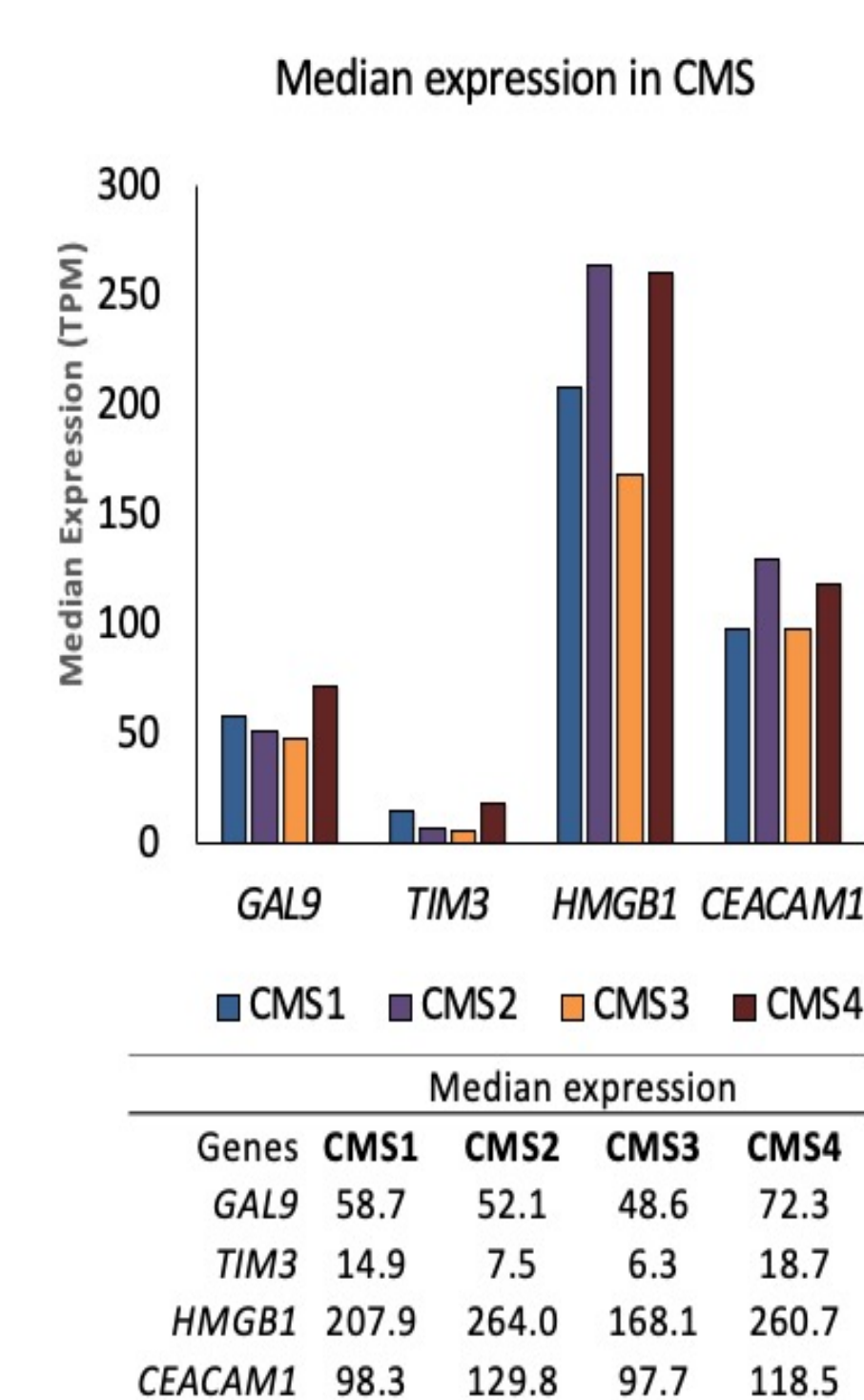


Figure 4: (A-D) Real world overall survival (rwOS) for *TIM3* and its ligands in CRC.

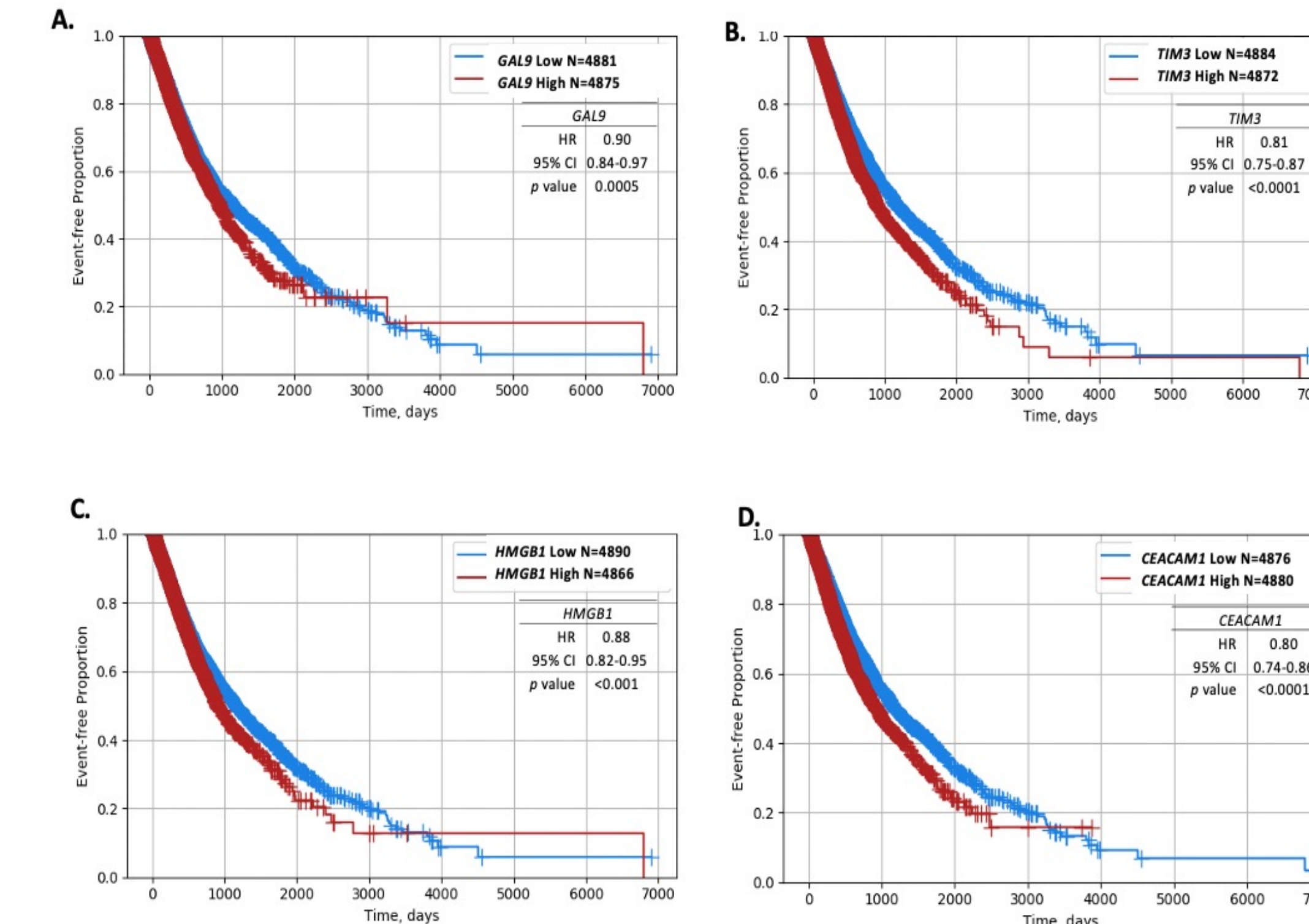
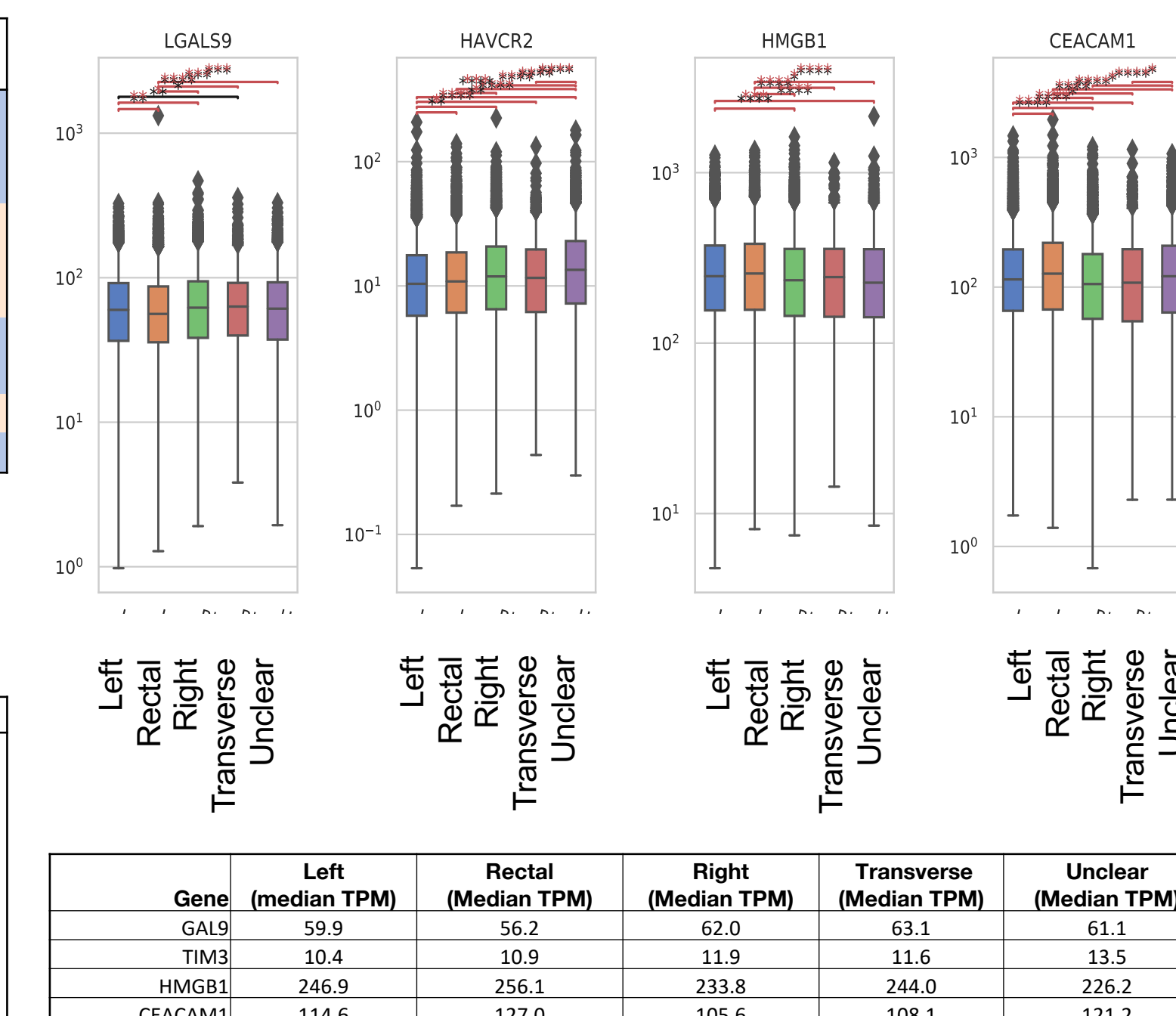


Table 2: A. Immune infiltration summary showing significant infiltration with gene expression within MSS tumors.

Immune Cell	GAL9	TIM3	HMGB1	CEACAM1
B cell	increase	increase	increase	decrease
Macrophage M1	increase	increase	decrease	decrease
Macrophage M2	increase	increase	increase	decrease
Neutrophil	increase	N.S.	increase	decrease
NK cell	increase	increase	increase	increase
T cell CD4+ (non-regulatory)	increase	increase	increase	increase
T cell CD8+	increase	increase	decrease	decrease
T cell regulatory (Tregs)	increase	increase	decrease	decrease
Myeloid dendritic cell	increase	increase	increase	increase
Monocyte	decrease	N.S.	decrease	decrease

Figure 3: Tumor sidedness and differences in expression for *TIM3* and its ligands



B. Significantly different alteration summary within MSS tumors (Q1 vs Q4).

Alteration	GAL9	TIM3	HMGB1	CEACAM1
TP53 mut	lower (80% vs 75%)	lower (78% vs 73%)	higher (68% vs 86%)	higher (73% vs 78%)
FLT1 amp	lower (3% vs 2%)	lower (3% vs 2%)	higher (1% vs 4%)	higher (73% vs 78%)
FLT3 amp	lower (4% vs 2%)	lower (4% vs 2%)	higher (2% vs 5%)	higher (2% vs 4%)
CDX2 amp	lower (13% vs 7%)	lower (12% vs 6%)	higher (7% vs 15%)	higher (7% vs 12%)
CDK8 amp	lower (3% vs 1%)	lower (3% vs 1%)	higher (1% vs 4%)	higher (1% vs 3%)
APC mut	N.S.	lower (82% vs 69%)	higher (69% vs 85%)	higher (67% vs 83%)
NRAS mut	lower (5 vs 3%)	N.S.	higher (3% vs 6%)	higher (3% vs 5%)
GNAS mut	N.S.	higher (2% vs 4%)	lower (5% vs 1%)	lower (4% vs 2%)
FBXW7 mut	lower (10% vs 8%)	N.S.	lower (10% vs 8%)	lower (10% vs 8%)
RNF43 mut	N.S.	higher (2% vs 3%)	lower (3% vs 2%)	lower (3% vs 2%)

## Conclusions

- Gal 9/TIM3-high tumors had higher prevalence (prev) of high tumor mutational burden ((12% vs. 8%; 14% vs. 6%), deficiency in mismatch repair (dMMR) (9% vs. 5%; 10% vs. 4%), PD-L1 (5% vs. 3%; 7% vs. 2%).
- Expression of Gal9/TIM3 was highest in CMS4, and in right sided and transverse tumors compared to left sided tumors.
- In contrast, HMGB1 and CEACAM1-high tumors had lower prev of dMMR (5% vs. 8%, 3% vs. 12%), PD-L1 exp (3% vs. 5%, 3% vs. 6%) and TMB-H (8% vs. 11%, 6% vs. 16%), and was highest in CMS2.
- In MSS tumors, Gal9/TIM3-high tumors were associated with lower frequency of TP53, amplifications of FLT1/3, CDX2, FOXO1, and CDK8 whereas CEACAM1 and HMGB1 were associated contrasting alteration rates.
- High expression in TIM3 and its ligands were associated with worse overall survival (all  $p < 0.01$ ).
- These findings provide rationale for further evaluation of TIM3 and its ligands in CRC as prognostic and predictive biomarkers and potential therapeutic targets modifying the tumor microenvironment.