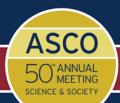
Expression of Novel Immunotherapeutic Targets in Triple Negative Breast Cancer

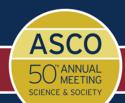
Gargi D. Basu PhD, Caris Life Sciences, Phoenix, AZ Anatole Ghazalpour PhD, Caris Life Sciences, Phoenix, AZ Zoran Gatalica MD, Caris Life Sciences, Phoenix, AZ Karen S. Anderson MD, Arizona State University, Tempe, AZ Ann McCullough MD, Mayo Clinic, Phoenix, AZ David Spetzler PhD, Caris Life Sciences, Phoenix, AZ Barbara A. Pockaj MD, Mayo Clinic, Phoenix, AZ



PRESENTED AT THE 2014 ASCO ANNUAL MEETING. PRESENTED DATA IS THE PROPERTY OF THE AUTHOR.

Diclosures

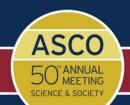
- Barbara A. Pockaj, MD
 Nothing to disclose
- Collaborators are employees at Caris Life Sciences



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Learning Objectives

- Discuss immune checkpoints and their ramifications in human cancers
- Evaluate the presence of PD-L1 expression in a large breast cancer population
- Identify immune and molecular pathway associations with PD-L1
- Review preliminary validation data



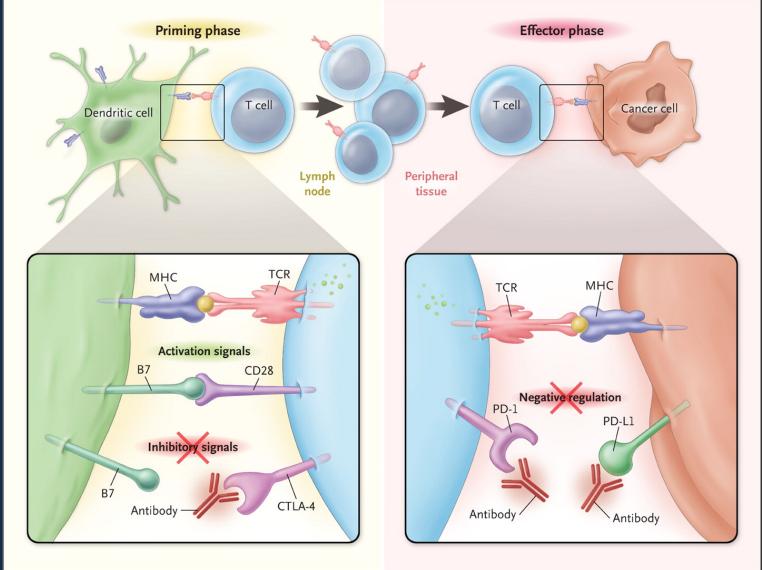
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Introduction – Immune Checkpoints

- Immune checkpoints regulate the duration and level the T-cell response
 - Cytotoxic T-lymphocyte Antigen-4 (CTLA-4) functions as an "off" switch to T-cell activity in the priming phase
 - Programmed Death (PD-1) regulates T-cell activity during the effector phase and can shut down antigen-specific T cells in the tumor microenvironment
 - Tumor cells can block the immune response via the PD-1 checkpoint by expressing programmed death ligands (PD-L1) and inactivating T-cells



Blockade of PD-1 or CTLA-4 Signaling in Tumor Immunotherapy



Ribas A. N Engl J Med 2012;366:2517-2519.



The NEW ENGLAND JOURNAL of MEDICINE

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Introduction – Immune Mechanisms

- IDO1 indoleamine 2,3-dioxygenase 1 (IDO-1) – catalyzes the first and ratelimiting step in tryptophan catabolism
 - Important to immune tolerance and immunosuppression
 - IDO-1 inhibitors are available
 - Current trials are now underway



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Clinical Application of Immunotherapy

- Several drugs have been developed which block the CTLA-4 and PD1 Immune Checkpoints
 - Anti-CTLA-4
 - Ipilumunab
 - Tremelimumab
 - Anti-PD1
 - Nivolumab (BMS936558/MDX-1106)
 - Lambrolizumab (MK-3475)
 - Anti-PD-L1
 - BMS-936559, MDX-1105
 - MPDL3280A/RG7446
 - MEDI4736
 - AMP-224
 - Pidilizumab (CT-011)

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Clinical Trials

Trial	Drug	Tumor Type	Response Rate	Immune Correlates
Topalian, NEJM, 2012	Nivolumab (BMS- 936558) Anti-PD-1	Melanoma, NSCLC, Renal Cell, Colorectal, Prostate	18% NSCLC 28% Melanoma 27% Renal	Response related to PD-L1 tumor expression 36% Response PD-L1+ 0% Response PD-L1-
Wolchok, NEJM, 2013	Nivolumab + Ipilimumab Anti-PD-1 and Anti- CTLA-4	Melanoma	40% Concurrent Therapy 20% Sequential Therapy	PD-L1 Expression did not correlate to response for concurrent therapy but did for sequential therapy
Daud, AACR, 2014	MK-3475 Anti-PD-L1	Melanoma	ORR 41%	ORR correlated with PD-L1 expression 52% ORR PD-L1+ 6% PD-L1-



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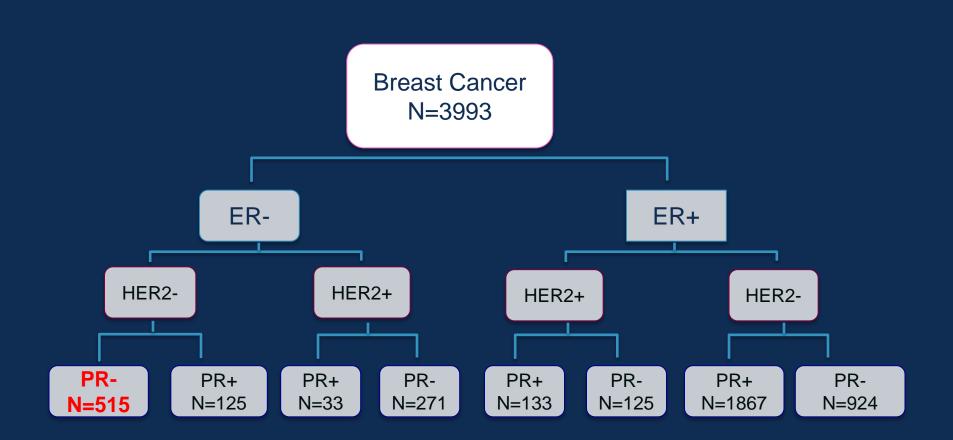
Methods

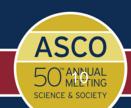
- 3993 formalin fixed, paraffin embedded breast cancer samples (Caris Life Sciences)
- Gene expression was performed using Illumina HumanHT-12 v4 BeadChip
- The Comprehensive R Archive Network was used for statistical computing and graphics
- The study was IRB approved



Human Ref-12 Expression Array Transcripts 29,526 Genes 18,401 Probe Beads ~1,000,000 Probe Beads/Transcript ~41 Control Probes~850







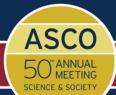
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Methods

• Validation:

– Immunohistochemistry (Caris Life Sciences)

- Slides were stained using an automated system (Ventana Medical Systems, Tucson, AZ) as per manufacturer's protocol with proprietary reagents.
- IHC stained slides were scored by pathologists.
 - Tumor staining was scored for all markers except for PD1 which was scored in the tumor infiltrating lymphocytes.
- BRCA 1 somatic mutation testing was performed by Next Gen Sequencing (Illumina Miseq platform)
 - Sequencing plots were read by board certified geneticists.



Methods

Validation

 18 TNBC cases analyzed at Mayo Clinic in Arizona using array-based comparative genomic hybridization (aCGH) to evaluate genomic amplifications and deletion



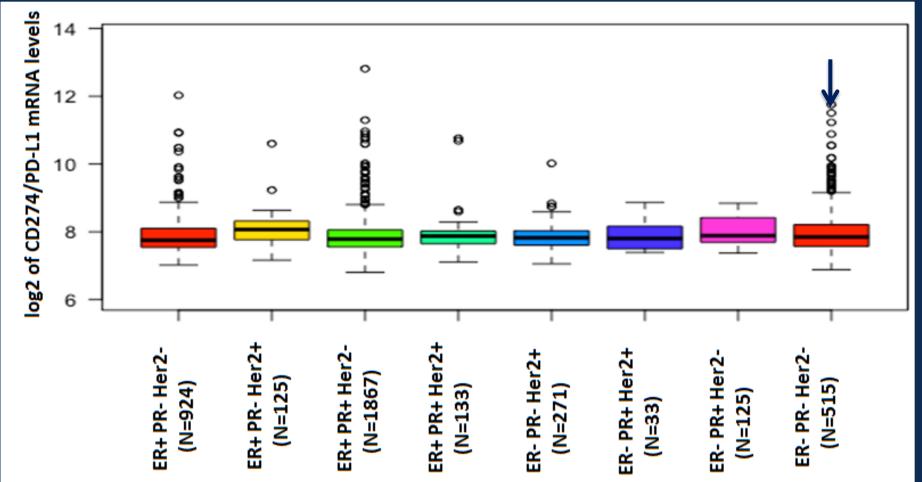
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RESULTS

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PD-L1 Levels



Data was normalized by doing mean normalization (using mean value from control normal breast tissue)

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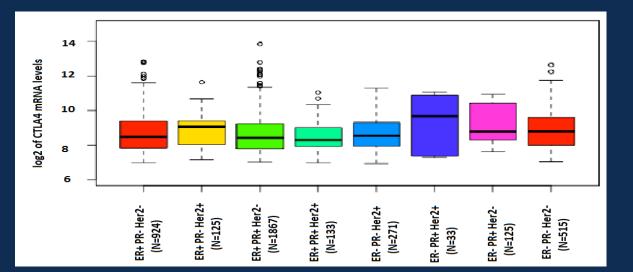
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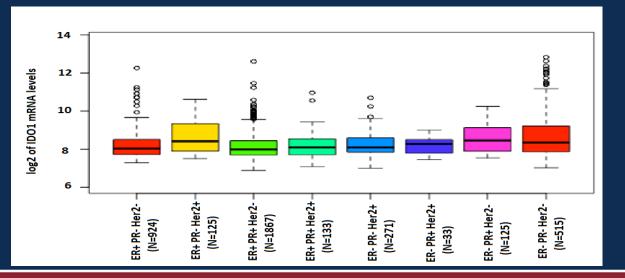
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5

CTLA-4 and IDO1 Levels





CTLA-4



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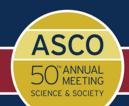
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PD-L1 Associations

Spearman correlation test

 Positive correlation with immune regulators:
 CTLA-4 correlation coefficient 0.528

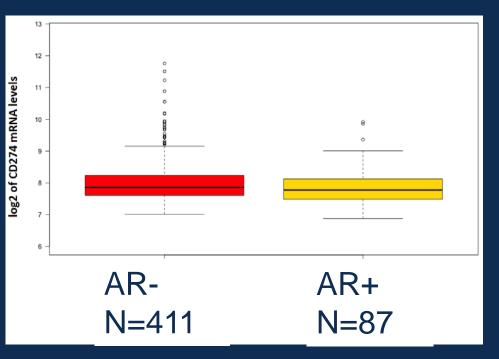
- IDO1 correlation coefficient 0.481
- Mixed results with the Phosphatidylinositol 3kinase (PI3-kinase) Pathway
 - PIK3CA correlation coefficient 0.39
 - PTEN correlation coefficient 0.11

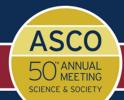


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AR Expression and PD-L1

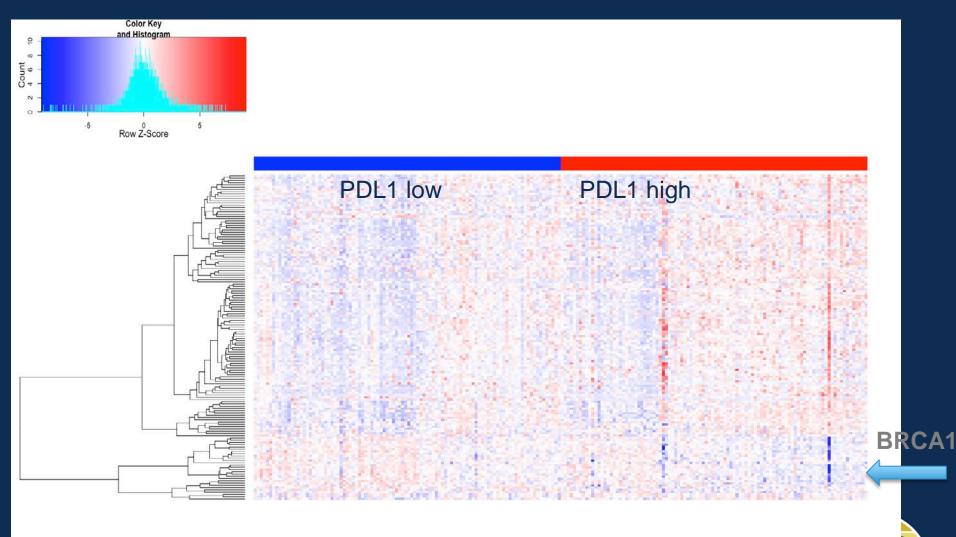
- Anova p value=.05
- Suggests that there is a relationship between AR expression and PDL1 expression
 - AR- higher likelihood of expressing
- Similar finding with IDO1 and CTLA-4





Differential expression of 144 genes based on T test between the high and low PD-L1 expressers:

• 4 distinct clusters noted in the PD-L1 low vs high population



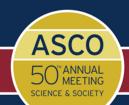
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Heatmap Analsis

- WebGestalt a "WEB-based GEne SeT AnaLysis Toolkit" was used to do enrichment analysis of the heatmap data
 - DNA repair genes were significant (adjusted p value=0.02)
 - BRCA1
 - Fanconi anemia, complementation goup A
 - HUS1 checkpoint homolog (S. Pombe)



Validation

- 36 TNBC patients were profiled for PD1, PD-L1, AR, BRCA1 mutation.
 - PD-L1 expression 10 patients (28%)
 - PD-1 expression is present in 22 patients (61%)
 - Co-expression of PD-1 and PD-L1 was found in 7/10 pateints (70%)



Validation

- AR expression found in 9 (25%) patients
 Only 1 patient (11%) to be PD-L1+
- 33% of AR- TNBC were PD-L1+
- 90% PD-L1+ were AR-
- 3/3 BRCA1 mutated patients were PD-L1+

• 4/4 BRCA1+ (Mayo Samples) were PD-L1+



PRESENTED AT:

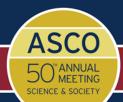
PI-3 Kinase Pathway

- Loss of PTEN expression was present in 19 patients (54%)
 - Only 4 of these patients were PD-L1+ (21%)
- PI3K mutation was present in 5 patients (14%)
 - Only 1 patient (20%) was PD-L1+



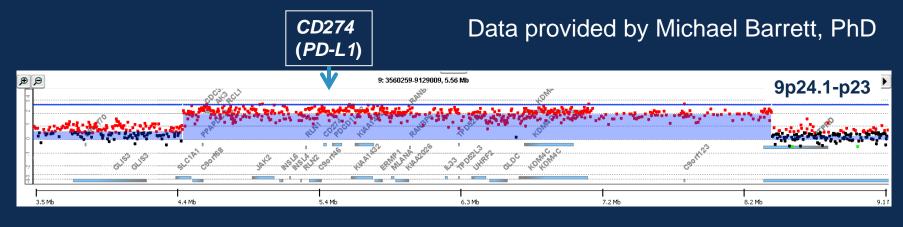
Validation

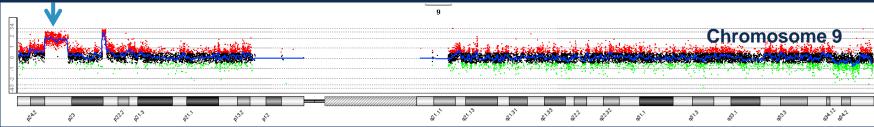
- cGH revealed over-expression of PD-L1 in 3/18 patients (17%)
 - All patients were AR- 3/11 (27%)
 - Mixed PI-3 Kinase pathway changes

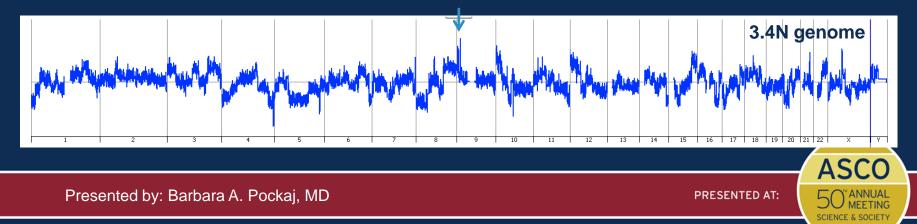


PRESENTED AT:

Validation







Conclusions

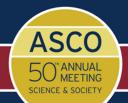
- A subset of TNBC patients express immune regulatory targets suggesting immunotherapy may be an effective option
 - PD-L1+ appears to be associated with
 - AR-TNBC
 - BRCA1 mutated TNBC

 High expression of PD-L1 in BRCA1 deficient as well as BRCA1 mutated patients indicate that anti PD-1/PD-L1 therapy in combination with platinum salts and/or PARP inhibitors may be a synergistic treatment strategy that warrants further study.



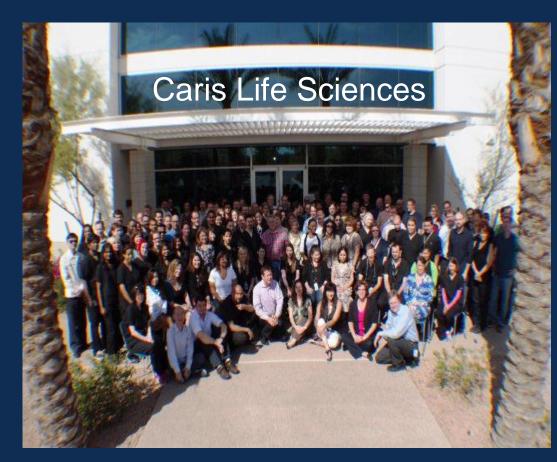
Conclusions

- Consistent association with the PI-3 Kinase pathway are not found
- Further validation of findings are ongoing
 Does PD-L1 overexpression by cGH lead to PD-L1 expression as seen by IHC?
 - Is PD-L1 expression correlated with BRCA1 mutation?
 - Would BRCA1 mutated patients benefit from immunotherapy?



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Acknowledgements



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ACKNOWLEDGEMENTS Profiled 62,000+ patients todate:

- 6,400 referring physicians
- All 50 states
- 30 countries

Laboratory personnel

- Pathologists and PAs
- -Molecular Geneticists
- Consulting Medical Oncologists
- scientists
- -- Entire lab staff



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